



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bentz et al.

Serial No.: 10/696,405

Filed: October 28, 2003

For: STABILIZED, SOLID STATE
POLYPEPTIDE PARTICLES

Confirmation No.: Unknown

Examiner: Unknown

Group Art Unit: Unknown

Attorney Docket No.: ARC 3277.US R1
(3139-6328.1US)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

3/29/04 Doreen Neumann
Date Signature

Doreen Neumann
Name (Type/Print)

**POWER OF ATTORNEY (37 C.F.R. 1.34(a)), REVOCATION OF PRIOR
POWER OF ATTORNEY (37 C.F.R. 1.36) and REQUEST TO
CHANGE CORRESPONDENCE ADDRESS (37 C.F.R. 1.33(d))
with STATEMENT PURSUANT TO 37 C.F.R. 3.73**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

As assignee of the entire interest in the above-identified application, all powers of attorney previously given herein are HEREBY REVOKED and the following attorneys are hereby appointed to prosecute and transact all business in the U.S. Patent and Trademark Office in connection herewith, and with all continuing and divisional applications hereof.

David V. Trask, Reg. No. 22,012
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In accordance with 37 C.F.R. 1.33(d), please change the address for all purposes in connection with the above-identified patent and direct all communications to:

EDGAR R. CATAXINOS
TRASKBRITT, PC
P. O. Box 2550
Salt Lake City, Utah 84110
(801) 532-1922

Pursuant to 37 C.F.R. 3.73, the undersigned representative of the Assignee has reviewed the evidentiary documents, specifically:

1. The Assignment from Johanna Bentz and Ling-Ling Kang to ALZA Corporation was mailed to the United States Patent and Trademark Office for recording on October 29, 2002, a true and correct copy of which is attached hereto as recordation information has not been received;

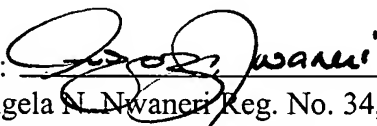
and certifies that to the best of her knowledge and belief, title remains in the name of ALZA Corporation as Assignee of record of the entire interest in the above-identified patent application.

The undersigned further avers that she is empowered to make and sign the foregoing certification on behalf of the Assignee, and to take the action set forth herein on behalf of the Assignee, pursuant to a resolution of its Board of Directors.

Respectfully submitted,

ALZA CORPORATION

Dated: 2/11/04

By: 
Angela N. Nwaneri Reg. No. 34,229
Vice President – Patent Law

Document in ProLaw

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Johanna Bentz

Ling-Ling Kang

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: _____

2. Name and address of receiving party(ies):

Name: ALZA Corporation

Internal Address: _____

Street Address: 1900 Charleston Road, P.O. Box 7210

M10-3

City: Mountain View State: CA ZIP: 94039-7210

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Samuel Webb

Internal Address: M10-3B

ALZA Corporation

Street Address: P.O. Box 7210

City: Mountain View State: CA ZIP: 94039-7210

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

01-1173

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Samuel Webb

Name of Person Signing

Samuel Webb
Signature

10/29/02

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT
(JOINT)

WHEREAS, we, *Johanna Bentz and Ling-Ling Kang*, have made certain new and useful inventions in *Stabilized, Solid-State Peptide Particles*, set forth in an application for Letters Patent of the United States, ☒ which is a provisional application filed herewith; ☐ which is a non-provisional application filed herewith; bearing Application No. *number*, and filed on *date*; and

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

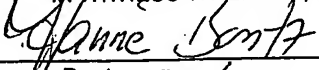
SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

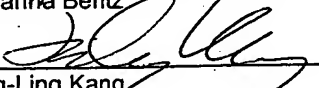
TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands:

 this 29 day of October 2002; and
Johanna Bentz

 this 29 day of October 2002.
Ling-Ling Kang